

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Houghton et al. )  
Serial No.: ) Examiner:  
Filing Date: ) Art Unit:

Title: TRACKING ACCOUNT STRUCTURE TO SUPPORT DIFFENT LEVERAGE  
LEVELS WITHIN AN INVESTMENT FUND

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the reference(s) listed on the accompanying form PTO/SB/08. A copy of each reference is enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,

Date: March 26, 2003

  
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				Application Number		
				Filing Date		
				First Named Inventor		Houghton
				Art Unit		
Examiner Name						
Sheet	1	of	1	Attorney Docket Number	040150	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Adler, "Cayman Islands Segregated Portfolio Companies – A Panacea," 2003.	
		Natusch, "Segregated Portfolio Companies in the Cayman Islands," October 2003.	

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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